

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Richard D. CAPELS, Sr. et al.

Application No./Patent No.: 09/160,503 Filed/Issue Date: September 24, 1998

Entitled: APPARATUS AND METHOD FOR HANDLING SPECIAL WINDOWS IN A DISPLAY

Apple Inc., a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

**OR**

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Richard D. Cappels, Sr.; Christopher H. Krah; and Johanna M. Andrews To: Apple Computer, Inc.

The document was recorded in the United States Patent and Trademark Office at  
Reel 9603, Frame 0376, or for which a copy thereof is attached.

2. From: Apple Computer, Inc. To: Apple Inc.

The document was recorded in the United States Patent and Trademark Office at  
Reel 019863, Frame 0241, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

<u>/Richard C. Kim/</u>	<u>December 10, 2007</u>
<u>Signature</u>	<u>Date</u>
<u>Richard C. Kim</u>	<u>858-720-5142</u>
<u>Printed or Typed Name</u>	<u>Telephone Number</u>
<u>Attorney of Record for Assignee</u>	
<u>Title</u>	

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**State of California**  
**Secretary of State**

**CERTIFICATE OF STATUS**  
**DOMESTIC CORPORATION**

I, DEBRA BOWEN, Secretary of State of the State of California, hereby certify:

That on the 3RD day of JANUARY, 1977, APPLE INC. became incorporated under the laws of the State of California by filing its Articles of Incorporation in this office; and

That said corporation's corporate powers, rights and privileges are not suspended on the records of this office; and

That according to the records of this office, the said corporation is authorized to exercise all its corporate powers, rights and privileges and is in good legal standing in the State of California; and

That no information is available in this office on the financial condition, business activity or practices of this corporation.

IN WITNESS WHEREOF, I execute  
this certificate and affix the Great Seal  
of the State of California this day of  
January 10, 2007.



*Debra Bowen*

DEBRA BOWEN  
Secretary of State

**State of California**  
**Secretary of State**



I, DEBRA BOWEN, Secretary of State of the State of California, hereby certify:

That the attached transcript of \_\_\_\_\_ page(s) has been compared with the record on file in this office, of which it purports to be a copy, and that it is full, true and correct.

IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this day of

JAN 9 2007



*Debra Bowen*

**DEBRA BOWEN**  
Secretary of State

40334723

**ENDORSED - FILED**  
in the office of the Secretary of State  
of the State of California

**CERTIFICATE OF OWNERSHIP**

**JAN 09 2007**

Peter L. Oppenheimer and Donald J. Rosenberg certify that:

1. They are the Senior Vice President and Chief Financial Officer, and Senior Vice President, General Counsel and Secretary, respectively, of Apple Computer, Inc., a California corporation (the "Corporation").
2. The Corporation owns all of the outstanding shares of Apple Inc., a California corporation ("Merger Sub").
3. The board of directors of the Corporation duly adopted the following resolution:

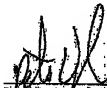
**RESOLVED**, that the Corporation merge Merger Sub, its wholly-owned subsidiary corporation, into itself and assume all its obligations pursuant to Section 1110 of the California Corporations Code; and

**RESOLVED FURTHER**, that Article I of the Corporation's Restated Articles of Incorporation, as amended, shall be amended in its entirety to read as follows;

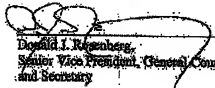
1. The name of the corporation is Apple Inc.

We further declare under penalty of perjury under the laws of the State of California that the matters set forth in this certificate are true and correct of our own knowledge.

Date: January 9, 2007



Peter L. Oppenheimer,  
Senior Vice President and  
Chief Financial Officer



Donald J. Rosenberg,  
Senior Vice President, General Counsel  
and Secretary

